

## **Tao-Hsien Dolly King**

Belk College of Business  
University of North Carolina at Charlotte  
9201 University City Blvd.  
Charlotte, NC 28223  
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Email: tking3@charlotte.edu

### **EDUCATION**

Ph.D. in Finance, University of Wisconsin-Madison, 1996.  
M.B.A. in Finance, University of Wisconsin-Madison, 1992.  
B.B.A. in Industrial Management, National Cheng-Kung University, Taiwan, 1990.

### **PROFESSIONAL EXPERIENCE**

Rush S. Dickson Professor of Finance, Belk College of Business, University of North Carolina at Charlotte, 2007 to present.

Interim Dean, Belk College of Business, University of North Carolina at Charlotte, June 2023 to June 2024.

Chair, Department of Finance, Belk College of Business, University of North Carolina at Charlotte, 2011 to 2017 & 2019 to 2022.

Associate Dean for Graduate Programs and Research, Belk College of Business, University of North Carolina at Charlotte, July 2017 to June 2019.

Associate Professor and Rush S. Dickson Professor of Finance, Department of Finance and Business Law, Belk College of Business, University of North Carolina at Charlotte, 2007 to 2011 (Associate Professor, 2006 to 2007).

Associate Professor, Department of Quantitative Finance, College of Technology Management, National Tsing-Hua University, Taiwan, 2005 to 2006.

Assistant Professor, Department of Finance, Edwin L. Cox School of Business, Southern Methodist University, 2003 to 2005.

Assistant Professor, School of Business Administration, University of Wisconsin-Milwaukee, 1996 to 2003.

Lecturer, Department of Finance, Investment, and Banking, School of Business Administration, University of Wisconsin-Madison, 1996.

## REFERRED JOURNAL PUBLICATIONS

1. Eunpyo Hong, Min C. Park, and Tao-Hsien Dolly King, 2023, "The Effect of Option Listing on Financing Decisions," ***Journal of Business Finance and Accounting***, Vol. 50, 858-891.
2. Tao-Hsien Dolly King, Takeshi Nishikawa, and Andrew Prevost, 2023, "The Impact of Organized Labor on CEO Debt-like Compensation," ***Journal of Accounting, Auditing and Finance***, Vol. 38, No. 1, 130-160.
3. Tao-Hsien Dolly King, Taichun Piao, and Xinde Zhang, 2022, "Putable Bonds, Risk-shifting Problems, and Information Asymmetry," ***Journal of Fixed Income***, Vol. 32, No. 1, 99-123.
4. Tao-Hsien Dolly King and Charles Teague, 2022, "Accelerated Share Repurchases: Value Creation or Extraction," ***Review of Quantitative Finance and Accounting***, Vol. 58, 171-216.
5. Wan-Chien Chiu, Tao-Hsien Dolly King, and Chih-Wei Wang, 2021, "Debt Maturity Dispersion and the Cost of Bank Loans," ***Journal of Corporate Finance***, Vol. 70, 102049.
6. Shuangshuang Ji, Tao-Hsien Dolly King, and Xinxin Li, 2021, "Human Capital Cost and Investment Policy," ***Review of Financial Economics***, Vol. 39, No. 3, 254-279.
7. Tao-Hsien Dolly King, Melvin Jameson, and Andrew Prevost, 2021, "Top Management Incentives and Financial Flexibility: The Case of Make-Whole Call Provisions," ***Journal of Business, Finance and Accounting***, Vol. 48, 374-404.
8. Jun Chen, Tao-Hsien Dolly King, and Min-Ming Wen, 2020, "Non-executive Ownership and Private Loan Pricing," ***Journal of Corporate Finance***, Vol. 64, 101638.
9. Wan-Chien Chiu, Tao-Hsien Dolly King, and Chih-Wei Wang, 2020, "Debt Maturity and the Cost of Bank Loans," ***Journal of Banking and Finance***, Vol. 112, 105235.
10. Jim Hsieh and Tao-Hsien Dolly King, 2019, "The Importance of Blockholder Heterogeneity: Security Market Effects and Follow-on Activities," ***Journal of Financial and Quantitative Analysis***, Vol. 54, No. 1, 101-153.
11. Tao-Hsien Dolly King, Sailu Li, and George Xiang, 2018, "Standalone Firms, Conglomerates, and Bond Return," ***Journal of Fixed Income***, Vol. 27, No. 3, 72-85.
12. Jun Chen, Tao-Hsien Dolly King, and Xinxin Li, 2018, "Bondholder Wealth Effects in Joint Ventures and Strategic Alliances around the World," ***Quarterly Journal of Finance***, Vol. 8, No. 1. 1840003.

#### REFERRED JOURNAL PUBLICATIONS (CONTINUED)

13. Stephen Christophe, Michael Ferri, Jim Hsieh, and Tao-Hsien Dolly King, 2016, "Short Selling and the Cross-Section of Corporate Bond Returns," *Journal of Fixed Income*, Vol. 26, No. 2, 54-77.
14. Kenneth Khang, Tao-Hsien Dolly King, and Hung Nguyen, 2016, "What Determines Outstanding Corporate Debt Mix? Evidence from Fractional Multinomial Logit Estimation," *Applied Economics*, Vol. 48, No. 4, 276-291.
15. Jun Chen, Tao-Hsien Dolly King, and Min-Ming Wen, 2015, "Do Joint Ventures and Strategic Alliances Create Value for Bondholders?" *Journal of Banking and Finance*, Vol. 58, September, 247-267.
16. Kenneth Khang and Tao-Hsien Dolly King, 2015, "Capital Market Access and Corporate Loan Structure," *Applied Economics*, Vol. 47, No. 4, 374-397.
17. Jun Chen and Tao-Hsien Dolly King, 2014, "Corporate Hedging and Cost of Debt," *Journal of Corporate Finance*, Vol. 29, December, 221-245.
18. Lindsay Baran and Tao-Hsien Dolly King, 2014, "Information Asymmetry and Index Revisions," *Applied Financial Economics*, Vol. 24, No. 11, 777-791.
19. Tao-Hsien Dolly King and David C. Mauer, 2014, "Determinants of Corporate Policy for Convertible Bonds," *Journal of Corporate Finance*, Vol. 24, No. 1, 112-134.
20. Kenneth Khang, Tao-Hsien Dolly King, and Hung Nguyen, 2014, "The Determinants of Corporate Debt Mix," *Quarterly Journal of Finance and Accounting*, Vol. 52, No. 4, 119-166.
21. Brent Ambrose, Yiyi Cheng, and Tao-Hsien Dolly King, 2013, "The Financial Crisis and Temporary Liquidity Guarantee Program: Their Impact on Fixed Income Markets," *Journal of Fixed Income*, Vol. 23, No. 2, 5-26. Lead Article.
22. Lindsay Baran and Tao-Hsien Dolly King, 2012, "Cost of Capital and S&P500 Index Revisions," *Financial Management*, vol. 41, no. 2, 457-481.
23. Tao-Hsien Dolly King and Min-Ming Wen, 2011, "Shareholder and Bondholder Governance, and Managerial Risk-taking," *Journal of Banking and Finance*, vol. 35, no.3, 512-531.
24. Lindsay Baran and Tao-Hsien Dolly King, 2010, "Going Private Transactions, Bondholder Returns, and Wealth Transfer Effects," *Journal of Banking and Finance*, vol. 34, no. 8, 1856-1872.

#### REFERRED JOURNAL PUBLICATIONS (CONTINUED)

25. Cinder Xinde Zhang and Tao-Hsien Dolly King, 2010, "The Decision to List Abroad: The Case of ADRs and Foreign IPOs by Chinese Companies," ***Journal of Multinational Financial Management***, vol. 20, no. 1, 71-92.
26. Kenneth Khang and Tao-Hsien Dolly King, 2010, "Short Horizon Liquidity and Trading Activity in the U.S. Treasury Market: Do Inventory Holding Costs Matter?" ***Applied Financial Economics***, vol. 20, no. 14, 1085-1098.
27. Ai-Fen Cheng and Tao-Hsien Dolly King, 2009, "Corporate Governance and Financial Contracting: Bondholder Takeover Defenses in Poison Puts," ***Corporate Ownership and Control***, vol. 7, no. 2, 9-20.
28. Matthew T. Billett, Tao-Hsien Dolly King, and David C. Mauer, 2007, "Growth Opportunities and the Choice of Leverage, Debt Maturity, and Covenants," ***Journal of Finance***, vol. 62, no. 2, 697-730.
29. Tao-Hsien Dolly King, 2007, "Are Embedded Calls Valuable: Evidence from Agency Bonds," ***Journal of Banking and Finance***, vol. 31, no. 1, 57-79.
30. Kenneth Khang and Tao-Hsien Dolly King, 2006, "Does Dividend Policy Relate to Cross-sectional Variation in Information Asymmetry? Evidence from Returns on Insider Trades," ***Financial Management***, vol. 35, no. 4, 71-94.
31. Tao-Hsien Dolly King and Kenneth Khang, 2005, "On the Importance of Systematic Risk Factors in Explaining the Cross-Section of Corporate Bond Yield Spreads," ***Journal of Banking and Finance***, vol. 29, no. 12, 3141-3158.
32. Matthew T. Billett, Tao-Hsien Dolly King, and David C. Mauer, 2004, "Bondholder Wealth Effects in Mergers and Acquisitions: New Evidence from the 1980s and 1990s," ***Journal of Finance***, vol. 59, no. 1, 107-135.
33. Kenneth Khang and Tao-Hsien Dolly King, 2004, "Return Reversals in the Bond Market: Evidence and Causes," ***Journal of Banking and Finance***, vol. 28, no. 3, 569-593.
34. Tao-Hsien Dolly King, 2002, "An Empirical Examination of Call Option Values Implicit in U.S. Corporate Bonds," ***Journal of Financial and Quantitative Analysis***, vol. 37, no. 4, 693-721.
35. Brent W. Ambrose and Tao-Hsien Dolly King, 2002, "GSE Debt and the Decline in the Treasury Debt Market," ***Journal of Money, Credit and Banking***, vol. 34, no. 3, part 2, 812-839.

## REFERRED JOURNAL PUBLICATIONS (CONTINUED)

36. Tao-Hsien Dolly King and David C. Mauer, 2000, "Corporate Call Policy for Nonconvertible Bonds," *Journal of Business*, vol. 73, no. 3, 403-444.

## WORKING PAPERS

1. "LBO and Firm ESG Commitment," with Fidele Balume Shukuru and Jean-Francois Gajewski; forthcoming in *Managerial Finance*.
2. "Does Executive Compensation Duration Generate Different Risk Incentives? Evidence on Corporate Hedging," with Jun Chen and Taichun Piao; revise and resubmit at *Review of Quantitative Finance and Accounting*.
3. "What Determines the Pricing of Yankee Bonds?" with Jun Chen, Jeffrey Lyon, and Trung Nguyen.
4. "Shareholder-Creditor Conflict and Hedging Policy: Evidence from Mergers between Lenders and Shareholders," with Yongqiang Chu, Taichun Piao, and Chen Shen.
5. "Performance-based Vesting Compensation and Debt Contracting," with Chen Shen.

## REFERRED CONFERENCE PROCEEDINGS

Tao-Hsien Dolly King, "The Determinants of Corporate Call Policy for Nonconvertible Bonds," *Proceedings of the Sixth Annual Conference on the Theories and Practices of Security and Financial Markets*, December 1997, National Sun Yat-Sen University, Kaohsiung, Taiwan, ROC.

Tao-Hsien Dolly King, "An Empirical Examination of the Embedded Options in Callable Corporate Bonds," *Proceedings of the International Conference on Finance*, January 2000, National Taiwan University, Taiwan, ROC.

## HONORS AND AWARDS

Rush S. Dickson Professor of Finance, Belk College of Business, University of North Carolina at Charlotte, 2007 to present.

Summer Research Grant Award, Belk College of Business, University of North Carolina at Charlotte, 2010, 2011, 2012, 2013, 2014, 2015, 2016, 2020, 2021, 2023, 2025.

Distinguished Scholarship Award, Belk College of Business, University of North Carolina at Charlotte, 2023.

## **HONORS AND AWARDS (CONTINUED)**

Semi-finalist in the Best Paper Award Competition at the Financial Management Association International Annual Meeting, New Orleans, LA, 2019.

Semi-finalist in the Best Paper Award Competition at the Financial Management Association International Annual Meeting, San Diego, CA, 2018.

Best Paper Award at the Financial Engineering Association of Taiwan Annual Meeting, Hsinchu, Taiwan, 2018.

Semi-finalist in the Best Paper Award Competition at the Financial Management Association International Annual Meeting, Boston, MA, 2017.

Graduate Teaching Award, Belk College of Business, University of North Carolina at Charlotte, 2016.

Semi-finalist in the Best Paper Award Competition at the Financial Management Association International Annual Meeting, Chicago, IL, 2013.

Best Paper Award at the International Conference on Finance, Taipei, Taiwan, 2012.

Wachovia Fund Fellowship, Belk College of Business, University of North Carolina at Charlotte, 2008.

Klein-Childress Research Award, Belk College of Business, University of North Carolina at Charlotte, 2007.

Annual Research Award, University of Wisconsin-Milwaukee, School of Business Administration, Business Advisory Council, 2002.

Best Paper Award at the International Conference on Finance, Taipei, Taiwan, 2000.

Best Paper Award in Fixed Income Research at the Financial Management Association International Annual Meeting, 1999.

Research Award, National Science Council, Taiwan, R.O.C., 1997-1998.

Beta Gamma Sigma Honor Society, University of Wisconsin-Madison, 1991.

Vilas Award, University of Wisconsin-Madison, 1991.

## **GRANTS**

"Do Pay Duration Matter for Corporate Financing Costs?" US\$10,000, Summer Research Grant, Belk College of Business, University of North Carolina at Charlotte, 2025.

## **GRANTS (CONTINUED)**

"What Determines the Offerings and Pricing of Yankee Bonds?" US\$5,000, Summer Research Grant, Belk College of Business, University of North Carolina at Charlotte, 2023.

"Corporate Voluntary Disclosure and the Cost of Debt Financing," US\$10,000, Summer Research Grant, Belk College of Business, University of North Carolina at Charlotte, 2021.

"Investment Banks, Commercial Banks, and the Cost of Debt," US\$5,000, Summer Research Grant, Belk College of Business, University of North Carolina at Charlotte, 2020.

"Financial Restatement and the Cost of Debt," US\$12,500, Summer Research Grant, Belk College of Business, University of North Carolina at Charlotte, 2016.

"Putable Bonds, Institutional Monitoring, and Covenant Structure," US\$12,500, Summer Research Grant, Belk College of Business, University of North Carolina at Charlotte, 2015.

"Private Equity Deals: Future Trends and Implications for Capital Structure, Governance, and Performance," US\$12,500, Summer Research Grant, Belk College of Business, University of North Carolina at Charlotte, 2014.

"Short Selling and Corporate Bond Returns," US\$12,500, Summer Research Grant, Belk College of Business, University of North Carolina at Charlotte, 2013.

"Do Corporate Alliances Create Value?" joint with Jun Chen, US\$10,000, Summer Research Grant, Belk College of Business, University of North Carolina at Charlotte, 2012.

"An Empirical Examination of Putable Bonds - Issuer Motivation and Risks," with Xinde Zhang, US\$12,500, Summer Research Grant, Belk College of Business, University of North Carolina at Charlotte, 2011.

"Heterogeneity of Block Share Acquisitions and Bondholder Wealth Effects," US\$10,000, Summer Research Grant, Belk College of Business, University of North Carolina at Charlotte, 2010.

"Shareholder and Bondholder Governance, Managerial Risk-taking, and Security Returns," US\$10,000, Faculty Research Grant/Wachovia Fund Fellowship, Belk College of Business, University of North Carolina at Charlotte, 2008.

"The Decision to Cross-List: The Case of Chinese IPOs and ADRs," joint with Xinde Zhang, US\$6,500, Childress Klein Research Grant, Belk College of Business, University of North Carolina at Charlotte, 2007.

## GRANTS (CONTINUED)

“Supervisory Board and Corporate Governance -- A Case Study on Corporate Structure Switch of Banque Indosuez,” joint with Gang Shyy at National Central University, Department of Finance. The grant amount was US\$20,000 for the project period 1997-1998, funded by the National Science Council, Taiwan.

“Liquidity and Debt Yields – An Empirical Study of the Corporate Bonds and Medium Notes Markets.” The grant amount was US\$18,000 for the project period 1997-1998, funded by the National Science Council, Taiwan.

## PROFESSIONAL SERVICE

### Journal Editorial Service

Associate Editor, *Review of Pacific Basin Financial Markets and Policies*

Topic Editor, *Journal of Risk and Financial Management*

Special Issue Editor, *Managerial Finance*

Editorial Board Member, *Managerial Finance*

### Journal Review

*Journal of Finance*

*Review of Financial Studies*

*Management Science*

*Journal of Banking and Finance*

*Financial Management*

*Journal of Corporate Finance*

*Real Estate Economics*

*European Financial Management*

*Financial Review*

*Managerial Finance*

*Journal of Accounting, Auditing & Finance*

*Quarterly Review of Economics and Finance*

*Review of Quantitative Finance and Accounting*

*Southern Economic Journal*

*Applied Financial Economics*

*Applied Economics*

*Quarterly Journal of Finance and Accounting*

*International Review of Financial Analysis*

*Review of Pacific Basin Financial Markets and Policies*

*International Review of Economics and Finance*

*Journal of Financial Studies*

*Review of Securities and Futures Markets*

*Asia Pacific Management*

*Pacific Economic Review*



### Journal Review (continued)

*Emerging Markets Finance and Trade*  
*International Finance*  
*Applied Stochastic Models in Business and Industry*  
*International Review of Finance*  
*North American Journal of Economics and Finance*  
*Financial Research Letters*

### Conference Program Committee

2024 Southern Finance Association Annual Meeting Program Committee  
2023 Southern Finance Association Annual Meeting Program Committee  
2020 Southern Finance Association Board Member  
2019 Southern Finance Association Board Member  
2018 Southern Finance Association President  
2017 Southern Finance Association Annual Meeting VP-Program  
2014 Southern Finance Association Annual Meeting Track Chair

### Conference Review

2025 Northern Finance Association Annual Meeting  
2024 Southern Finance Association Annual Meeting  
2024 Northern Finance Association Annual Meeting  
2023 Midwest Finance Association Annual Meeting  
2023 Northern Finance Association Annual Meeting  
2023 Southern Finance Association Annual Meeting  
2022 Northern Finance Association Annual Meeting  
2022 Midwest Finance Association Annual Meeting  
2021 Financial Management Association Annual Meeting  
2021 Northern Finance Association Annual Meeting  
2021 Midwest Finance Association Annual Meeting  
2020 Northern Finance Association Annual Meeting  
2019 Midwest Finance Association Annual Meeting  
2019 Northern Finance Association Annual Meeting  
2019 Southern Finance Association Annual Meeting  
2018 Northern Finance Association Annual Meeting  
2018 Financial Management Association Annual Meeting  
2018 Midwest Finance Association Annual Meeting  
2018 European Financial Management Association Annual Meeting  
2017 Northern Finance Association Annual Meeting  
2017 Midwest Finance Association Annual Meeting  
2016 Northern Finance Association Annual Meeting  
2015 Northern Finance Association Annual Meeting  
2015 USC-UNC Charlotte Fixed Income Conference  
2014 Northern Finance Association Annual Meeting  
2014 Midwest Finance Association Annual Meeting

#### Conference Review (continued)

2013 Northern Finance Association Annual Meeting  
2012 Northern Finance Association Annual Meeting  
2007 Financial Management Association Best Paper Awards  
1998 PACAP/FMA Conference  
1998 Chinese Finance Association Annual Meeting

#### Conference Discussant/Session Chair

Annual Conference on Pacific Basin Finance, Economics, Accounting, and Management:  
June 2025 (session chair and discussant)

National Yang Ming Chiao Tung University (NYCU) International Finance Conference,  
December 2024 (session and discussant)

Annual Conference on Pacific Basin Finance, Economics, Accounting, and Management:  
August 2024 (session chair and discussant)

Annual Conference on Financial Economics and Accounting, Rutgers Business School,  
November 2023 (session chair and discussant)

Annual Conference on Pacific Basin Finance, Economics, Accounting, and Management:  
June 2023 (session chair and discussant)

Annual Conference on Pacific Basin Finance, Economics, Accounting, and Management:  
2021 (January and September)

Financial Management Association Annual Meeting: 1998, 1999, 2001, 2002, 2003, 2007,  
2008, 2011, 2012, 2013, 2014, 2015, 2019.

Midwest Finance Association Annual Meeting: 2007, 2008, 2009, 2012, 2013, 2014, 2015,  
2023

Eastern Finance Association Annual Meeting: 2011, 2014, 2021, 2023

Southern Finance Association Annual Meeting: 2000, 2017, 2019, 2024.

International Conferences on Finance: 1998, 2000, 2010, 2012.

Academy of Financial Services Annual Meeting: 2000.

Conference on the Theories and Practices of Security and Financial Markets: 1997, 2012.

#### Research Presentations at Professional Meetings and Seminars

Presentation in the Perella Department of Finance Seminar Series, College of Business, Lehigh University, April 18, 2025.

Presentation in the iaelyon School of Management Seminar Series, Jean Moulin University Lyon 3, Lyon, France, January 23, 2025.

Presentation in the School of Finance and Banking Seminar Series, Università Cattolica Del Sacro Cuore, Milan, Italy, January 17, 2025.

Presentation at the 18<sup>th</sup> National Yang Ming Chiao Tung University (NYCU) International Finance Conference, December 6, 2024.

Presentation at the 32nd Annual Conference on Pacific Basin Finance, Economics, Accounting, and Management, Rutgers University, New Brunswick, August 29-30, 2024.

Presentation in the iaelyon School of Management Seminar Series, Jean Moulin University Lyon 3, Lyon, France, February 1, 2024.

Presentation in the School of Finance and Banking Seminar Series, Università Cattolica Del Sacro Cuore, Milan, Italy, Jan 15, 2024.

Presentation at the Conference on Financial Economics and Accounting, Rutgers Business School, November 3-4, 2023.

Presentation at the Financial Management Association Annual Meeting, Chicago, Illinois, October 11-14, 2023.

Presentation at the 31<sup>st</sup> Annual Conference on Pacific Basin Finance, Economics, Accounting, and Management, Yang Ming Chiao Tung University, Hsinchu, Taiwan, June 2-3, 2023.

Presentation in the School of Finance and Banking Seminar Series, Università Cattolica Del Sacro Cuore, Milan, Italy, Jan 13, 2023.

Presentation in the Department of Accounting and Graduate Institute of Finance Seminar Series at National Cheng Kung University, Tainan, Taiwan, November 18, 2022.

Presentation in the Department of Accounting Seminar Series at National Chengchi University, Taipei, Taiwan, November 14, 2022.

Presentation in the Department of Finance and International Business Seminar Series at Fu Jen Catholic University, New Taipei City, Taiwan, October 19, 2022.

#### Research Presentations at Professional Meetings and Seminars (Continued)

Presentation in the Department of Quantitative Finance Seminar Series at National Tsing Hua University, Hsinchu, Taiwan, October 13, 2022.

Presentation in the Department of Finance Seminar Series at National Taiwan University, Taipei, Taiwan, June 10, 2022.

Presentation at the 30<sup>th</sup> Annual Conference on Pacific Basin Finance, Economics, Accounting, and Management, National Chung Cheng University, Taiwan, May 13-14, 2022.

Presentation at the 29<sup>th</sup> Annual Conference on Pacific Basin Finance, Economics, Accounting, and Management, Rutgers University, USA, September 2021.

Presentation at the American Accounting Association Annual Meeting, virtual conference, August 2, 2021.

Presentation at the 7<sup>th</sup> International Young Finance Scholars' Conference, Peking University HSBC Business School, Beijing, China, July 12-13, 2021.

Presentation at the 29<sup>th</sup> European Financial Management Association (EFMA) conference, University of Leeds, UK, June 30 -July 3, 2021.

Presentation at the Eastern Finance Association Annual Meeting, virtual conference, April 8, 2021.

Presentation at the 28<sup>th</sup> Annual Conference on Pacific Basin Finance, Economics, Accounting, and Management, National Chiao Tung University, Taiwan, Jan 2021.

Presentation in the School of Finance and Banking Seminar Series, Università Cattolica Del Sacro Cuore, Milan, Italy, Jan 2020.

Presentation at the Financial Management Association European Conference, Glasgow, Scotland, June 2019.

Keynote speech at the 2019 Global Business and Management Conference hosted by Tamkang University, Taipei, Taiwan, May 2019.

Presentation at the World Finance and Banking Symposium, Taichung, Taiwan, Dec 2018.

Presentation at the Financial Management Association European Conference, Kristiansand, Norway, June 2018.

#### Research Presentations at Professional Meetings and Seminars (Continued)

Presentation at the Financial Engineering Association of Taiwan International Conference and Annual Meeting, Hsinchu, Taiwan, June 2018.

Keynote speech at the Financial Engineering Association of Taiwan International Conference and Annual Meeting, Hsinchu, Taiwan, June 2018.

Presentation at the Financial Management Association European Conference, Lisbon, Portugal, June 2017.

Presentation at the European Financial Association Annual Meeting, Athens, Greece, June 2017.

Presentation at the Tenth Annual Risk Management Conference, National University of Singapore, Singapore, July 2016.

Presentation at the 3rd Young Finance Scholars Conference and Corporate Debt Workshop, University of Sussex, UK, June 2016.

Presentation at the International Finance and Banking Society Annual Conference, Barcelona, Spain, June 2016.

Presentations at the Financial Management Association International Annual Meeting, 1998, 1999, 2001, 2002, 2003, 2007, 2008, 2010, 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018, 2019, 2020, 2023.

Presentation at the International Finance and Banking Society Annual Conference, Lisbon, Portugal, June 2014.

Presentation at the Midwest Finance Association Annual Meetings, 2007, 2008, 2009, 2012, 2013, 2014, 2015, 2016, 2017.

Presentation at the Southern Finance Association Annual Meeting, November 2000, 2017, 2019, 2020, 2024.

Presentation at the Eastern Finance Association Annual Meeting, 2011, 2014, 2020, 2021.

Presentations at the Australasian Finance and Banking Conference, 2008, 2009, 2010, 2013.

Presentation in the Finance Department Seminar Series at Kent State University, Kent, OH, September 2016.

#### Research Presentations at Professional Meetings and Seminars (Continued)

Presentation in the Finance Department Seminar Series at Ohio University, Athens, OH, September 2013.

Presentation in the Annual International Conference on Financial Stability, Sydney, Australia, July 2013.

Presentation in the Department of Business Administration Seminar Series at North Carolina State University, Raleigh, NC, April 2013.

Presentations at the International Conference on Finance, National Taiwan University, Taiwan, December 2012.

Presentation at the Annual Conference on the Theories and Practices of Security and Financial Markets, Kaohsiung, Taiwan, December 2012.

Presentation at the FDIC-JFSR Annual Bank Research Conference, Arlington, VA, October 2012.

Presentation in the Finance Department Seminar Series at the University of South Carolina, Columbia, SC, March 2012.

Presentation in the Securities and Exchange Commission (SEC) Seminar Series, Washington, DC, February 2011.

Presentations at the International Conference on Finance, National Taiwan University, Taiwan, December 2010.

Presentation in the Finance Department Seminar Series at Wilfrid Laurier University, Waterloo, Canada, October 2010.

Presentation in the Finance Department Seminar Series at the University of North Carolina at Charlotte, Charlotte, North Carolina, Feb 2009.

Presentation at the American Finance Association Annual Meeting in Washington, D.C., January 2003.

Presentation in the Finance Department Seminar Series at the University of Kentucky, Lexington, Kentucky, November 2002.

Presentation at the Federal Reserve Bank of Cleveland/Journal of Money, Credit and Banking Conference on Declining Treasury Debt, October 2001.

Presentation at the Academy of Financial Services Annual Meeting, October 2000.

### Research Presentations at Professional Meetings and Seminars (Continued)

Presentations at the International Conference on Finance, National Taiwan University, Taiwan, March 1998, and January 2000.

Presentations at the Management Science Brown Bag Seminar Series, University of Wisconsin-Milwaukee, School of Business Administration, February 1999, and March 2000.

Presentation at the Sixth Annual Conference on the Theories and Practices of Security and Financial Markets, National Sun Yat-Sen University, Kaohsiung, Taiwan, December 1997.

### **MEMBERSHIPS IN PROFESSIONAL ASSOCIATIONS**

American Finance Association  
Financial Management Association  
Midwest Finance Association  
Eastern Finance Association  
Southern Finance Association  
The Honor Society of Phi Kappa Phi

### **COURSES TAUGHT**

#### At the University of North Carolina at Charlotte

Financial Economic Theory II (PhD course)  
Theory of Corporate Finance (PhD course, average instructor rating: 5.0/5.0)  
Fixed Income Securities and Credit Risk (Master, average instructor rating: 4.8/5.0)  
Financial Analysis (Undergraduate, average instructor rating: 4.3/5.0)  
Applied Business Finance (MBA, average instructor rating: 4.8/5.0)

#### At Southern Methodist University

Financial Management (undergraduate)  
Fixed Income Securities (Master)

#### At the University of Wisconsin-Milwaukee

Fixed Income Securities (Master)  
Financial Strategy (Master)  
Advanced Corporate Finance (Undergraduate)

### **GRADUATE STUDENTS**

<u>Student</u>	<u>Level</u>	<u>Served as</u>	<u>Graduation</u>
Helen Gardner	Doctoral	Committee Member	Expected in 2026
Narayanan Venkatraman	Doctoral	Co-chair	Expected in 2026
Wei Wei	Doctoral	Co-chair	June 2025

**GRADUATE STUDENTS (CONTINUED)**

Zaf Urmanov	Doctoral	Committee Member	May 2025
Zifen Zeng	Doctoral	Committee Member	May 2024
Michael Hook	Doctoral	Chair	Dec 2022
Chen Shen	Doctoral	Co-Chair	Aug 2022
Taichun Piao	Doctoral	Chair	May 2022
Joseph Mulla	Doctoral	Committee Member	May 2022
Natalia Villatoro	Doctoral	Committee Member	December 2021
William Sofsky	Doctoral	Committee Member	May 2021
Ahmet Nart	Doctoral	Co-Chair	December 2020
Bradley Williams	Doctoral	Committee Member	May 2020
Shuangshuang Ji	Doctoral	Committee Member	June 2019
Licheng Jin	Doctoral	Committee Member	May 2019
Charles Teague	Doctoral	Chair	August 2018
Xinxin Li	Doctoral	Chair	May 2018
Brett Blazeovich	Doctoral	Committee Member	June 2017
Sailu Li	Doctoral	Chair	June 2016
Katerina Ivanov	Doctoral	Committee Member	June 2016
Anan Zhuang	Doctoral	Committee Member	May 2015
Nischala Reddy	Doctoral	Committee Member	June 2014
Steve Martin	Doctoral	Chair	June 2014
Jun Chen	Doctoral	Chair	May 2014
Hung Nguyen	Doctoral	Chair	December 2013
Xinde Zhang	Doctoral	Chair	May 2010
Lindsay Baran	Doctoral	Chair	June 2010
Jeffrey Lyon	Master	Committee Member	August 2018
Ai-Fen Cheng	Master	Chair	June 2006
Chia-Wen Ho	Master	Committee Member	June 2006

**BUSINESS HONORS PROGRAM STUDENTS (UNDERGRADUATE)**

<u>Student</u>	<u>Served as</u>	<u>Current Status</u>
Simisola Odugbesan	Chair	Completed in May 2008
Victor Clement	Chair	Completed in May 2008
Martin Ohlhaut	Chair	Completed in May 2008
Brad Wagner	Committee Member	Completed in May 2008

**ACADEMIC SERVICE**

Member, Authorship Dispute Committee, University of North Carolina at Charlotte, 2023-2025

Member, MBA Program Committee, Belk College of Business, University of North Carolina at Charlotte, 2024-2025.



**ACADEMIC SERVICE (CONTINUED)**

Member, Faculty Council, University of North Carolina at Charlotte, 2022-2023, 2024-2025.

Member, Office of International Programs University Council, University of North Carolina at Charlotte, 2020-2023, 2024-2025.

Member, Nominations Committee, Department of Finance, University of North Carolina at Charlotte, 2022 to 2023.

Chair, Search Committee for Special Faculty Positions, Department of Finance, University of North Carolina at Charlotte, 2022-2023.

Alternate, College Review Committee, Belk College of Business, University of North Carolina at Charlotte, 2022-2023.

Alternate, College Joint Faculty/Staff Committee on Diversity, Belk College of Business, University of North Carolina at Charlotte, 2022-2023.

Member, Faculty International Education Award Committee, Office of International Programs, University of North Carolina at Charlotte, 2018-2019 and 2020-2023.

Chair, Faculty International Education Award Committee, Office of International Programs, University of North Carolina at Charlotte, 2019-2020.

Chair, Department of Finance, Belk College of Business, University of North Carolina at Charlotte, July 2011 to June 2017 & July 2019 to June 2022.

Associate Dean for Graduate Programs and Research, Belk College of Business, University of North Carolina at Charlotte, July 2017 to June 2019.

Member, Search Committee for Director of Turner School of Accountancy, Belk College of Business, University of North Carolina at Charlotte 2020-2021.

Member, Search Committee for Mathematics Department Chair, University of North Carolina at Charlotte, 2018-2019 and 2019-2020.

Member, Online Program Committee, Belk College of Business, University of North Carolina at Charlotte, Jan 2020 to present.

Member, Faculty By-Law Committee, Belk College of Business, University of North Carolina at Charlotte, Jan 2020 to present.

Member, Review Committee for Mathematics Department Chair Reappointment, University of North Carolina at Charlotte, 2014.

**ACADEMIC SERVICE (CONTINUED)**

Member, Bonnie E. Cone Early-Career Professorship for Teaching Selection Committee, University of North Carolina at Charlotte, 2014.

Director of PhD Programs, Belk College of Business, University of North Carolina at Charlotte, Jan 2011 to July 2012.

Chair, Undergraduate Programs Task Force, Belk College of Business, University of North Carolina at Charlotte, September 2010 to 2011.

Chair, Department Review Committee, Department of Finance, Belk College of Business, University of North Carolina at Charlotte, 2009-2010.

Department Review Committee, Department of Finance, Belk College of Business, University of North Carolina at Charlotte, 2006-2009.

Mathematical Finance Program Committee, Belk College of Business, University of North Carolina at Charlotte, 2011 to 2017.

Mathematical Finance Program Comprehensive Exam Committee, Department of Finance, Belk College of Business, University of North Carolina at Charlotte, 2007 to present.

Ph.D. Program Committee, Belk College of Business, University of North Carolina at Charlotte, 2006 to 2012.

Ph.D. Program Comprehensive Exam Committee, Belk College of Business, University of North Carolina at Charlotte, 2006 to 2012.

Diversity and Inclusion Resource Council, Belk College of Business, University of North Carolina at Charlotte, 2007-2008.

Faculty Academic Policy and Standards Committee, University of North Carolina at Charlotte, 2008-2010.

First Citizens Bank Scholars Medal Committee, University of North Carolina at Charlotte, 2007-2010.

Strategic Planning and Marketing Committee, School of Business Administration, University of Wisconsin-Milwaukee, 1998-1999.

AACSB Accreditation Task Force, School of Business Administration, University of Wisconsin-Milwaukee, 1998-1999.

**ACADEMIC SERVICE (CONTINUED)**

Ph.D. Preliminary Exam Committee, School of Business Administration, University of Wisconsin-Milwaukee, 1998-1999.

Ph.D. Program Committee, School of Business Administration, University of Wisconsin-Milwaukee, 1999-2001.

Economic Benefits Committee, University of Wisconsin-Milwaukee, 1999-2002.

Faculty Senate, University of Wisconsin-Milwaukee, 1999-2000.